Peter 2: Verse 17-23.

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# Malpractice for Exams

Author: Exams Manager
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Our Academy Vision is "Living Well Together with Dignity, Faith and Hope". We aspire to take dignified decisions and afford dignity to all members of our community, regardless of background or circumstance. Our position as a Voluntary Aided Church Academy means that the Christian faith has a central role in all of our actions and decisions. We strive for excellence in all that we do, enabling our students to transform their lives and to hope for happy and successful futures.

At All Saints Academy, our vision is "Living Well Together with Dignity, Faith and Hope and this translates into our everyday practice. All Saints Academy is deeply committed to the principles of equality, diversity and inclusion and actively promotes this with its staff, governors, volunteers, students, parents/carers and all in the Academy community.

### Introduction

What is malpractice and maladministration?

'Malpractice' and 'maladministration' are related concepts, the common theme of which is that they involve a failure to follow the rules of an examination or assessment. This policy and procedure uses the word 'malpractice' to cover both 'malpractice' and 'maladministration' and it means any act, default or practice which is:

- · A breach of the Regulations.
- · A breach of awarding body requirements regarding how a qualification should be delivered.
- · A failure to follow established procedures in relation to a qualification.

### which:

- · Gives rise to prejudice to candidates.
- · Compromises public confidence in qualifications.
- Compromises, attempts to compromise or may compromise the process of assessment, the integrity of any qualification or the validity of a result or certificate.
- Damages the authority, reputation or credibility of any awarding body or centre or any officer, employee or agent of any awarding body or centre.

For the purposes of this document, suspected malpractice means all alleged or suspected incidents of malpractice.

## Purpose of the policy

The purpose of this policy is to confirm how All Saints Academy manages malpractice under normal delivery arrangements in accordance with the regulations.

### **General principles**

In accordance with JCQ regulations All Saints Academy will:

- Take all reasonable steps to prevent the occurrence of any malpractice (which includes maladministration) before, during and after examinations have taken place by;
  - i. Ensuring centre staff have adequate training
  - ii. Providing candidates with guidance which constitutes malpractice, such as infographics, posters and invigilation announcements
  - iii. Ensuring candidates and centre staff are aware of sanctions which may be imposed upon a candidate, centre staff or the centre
- · Inform the awarding body immediately of any alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, by completing the appropriate documentation
- As required by an awarding body, gather evidence of any instances of alleged or suspected malpractice (which
  includes maladministration) in accordance with the JCQ publication Suspected malpractice Policies and
  procedures and provide such information and advice as the awarding body may reasonably require.

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### **Candidate malpractice**

'Candidate malpractice' means malpractice by a candidate in connection with any examination or assessment, including the preparation and authentication of any controlled assessments, coursework or non-examination assessments, the presentation of any practical work, the compilation of portfolios of assessment evidence and the writing of any examination paper.

### Centre staff malpractice

'Centre staff malpractice' means malpractice committed by:

- A member of staff, contractor (whether employed under a contract of employment or a contract for services) or a volunteer at a centre; or
- An individual appointed in another capacity by a centre such as an invigilator, a Communication Professional, a Language Modifier, a practical assistant, a prompter, a reader or a scribe.

## **Preventing malpractice**

All Saints Academy has in place robust processes to prevent and identify malpractice, as outlined in section 3.3 of the JCQ publication Suspected Malpractice: Policies and Procedures.

# Identification and reporting of malpractice

- The Head of Centre/Exams Manager will notify the appropriate awarding body immediately of all alleged, suspected or actual incidents of malpractice, using the appropriate forms, and will conduct any investigation and gathering of information in accordance with the requirements of the JCQ publication Suspected Malpractice: Policies and Procedures
- · Form JCQ/M1 will be used to notify an awarding body of an incident of candidate malpractice.
- · Form JCQ/M2 will be used to notify an awarding body of an incident of suspected staff malpractice/maladministration
- · Form JCQ/M3 will be used by Head of Centre following the gathering of information related to an investigation into an instance of suspected malpractice or maladministration by centre staff
- Malpractice by a candidate discovered in a controlled assessment, coursework or non- examination assessment component prior to the candidate signing the declaration of authentication need not be reported to the awarding body but will be dealt with in accordance with the centre's internal procedures. The only exception to this is where the awarding body's confidential assessment material has potentially been breached. The breach will be reported to the awarding body immediately
- · If, in the view of the investigator, there is sufficient evidence to implicate an individual in malpractice, that individual (a candidate or a member of staff) will be informed of the rights of accused individuals
- Once the information gathering has concluded, the head of centre (or other appointed information-gatherer)
   will submit a written report summarising the case to the relevant awarding body, accompanied by the information obtained during the course of their enquiries
- The awarding body will decide on the basis of the report, and any supporting documentation, whether there
  is evidence of malpractice and if any further investigation is required. The head of centre will be informed
  accordingly

# **Communicating malpractice decisions**

Once a decision has been made, it will be communicated in writing to the head of centre as soon as possible. The Head of Centre will communicate the decision to the individuals concerned and pass on details of any sanctions and action in cases where this is indicated. The head of centre will also inform the individuals if they have the right to appeal.

### Appeals against decisions made in cases of malpractice

All Saints Academy will:

- · Provide the individual with information on the process for submitting an appeal, where relevant.
- · Refer to further information and follow the process provided in the JCQ publication A guide to the awarding bodies' appeals processes